| FORM P | TO-139 | 0 (Modified) U.S. PATENT AND TRADEM | ATTORNEY'S DOCKET NUMBER | | | | | | | | | |
|---|---------------|--|-------------------------------|--|--|--|--|--|--|--|--|--|
| (KEV. 0 | 7-2004) TR | RANSMITTAL LETTER | XA-10183 | | | | | | | | | |
| DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) | | | | | | | | | | | | |
| CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 | | | | | | | | | | | | |
| INTER | | ONAL APPLICATION NO. | INTERNATIONAL FILING DATE | PRIORITY DATE CLAIMED | | | | | | | | |
| | | PCT/JP02/11953 | 15 November 2002 | 05 April 2002 | | | | | | | | |
| TITLE OF INVENTION NON-VOLATILE STORAGE DEVICE | | | | | | | | | | | | |
| NO | N-VU | LATILE STORAGE DEVI | J.E. | | | | | | | | | |
| APPLICANT(S) FOR DO/EO/US | | | | | | | | | | | | |
| TAKASE, Yoshinori; YOSHIDA, Keiichi; HORI, Takashi; NOZOE, Atsushi; TAMURA, Takayuki; | | | | | | | | | | | | |
| FUJISAWA, Tomoyuki; MATSUBARA, Ken | | | | | | | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | | | | |
| 1. Mark This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | | | | | |
| 2. | | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | | | |
| 3. | | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), | | | | | | | | | | |
| 1 | | (6), (9) and (24) indicated below. | | | | | | | | | | |
| 4. | \boxtimes | The US has been elected (Article 31). | | | | | | | | | | |
| 5. | \boxtimes | A copy of the International Application as filed (35 U.S.C. 371 (c) (2)) | | | | | | | | | | |
| | | a. is attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | | |
| | | b. As been communicated by the International Bureau. | | | | | | | | | | |
| _ | * | c. is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | | | | |
| 6. | × | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | | | | | | | |
| | Σ | a. ⊠ is attached hereto. b. □ has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | | | | | |
| 7. | - ⊠ | b. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) | | | | | | | | | | |
| , · | | The state of the state of the state of the state of Property | | | | | | | | | | |
| | | a. are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. | | | | | | | | | | |
| | | é. ☐ have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | | | | | |
| l | | d. \(\text{ have not been made and will not be made.} \) | | | | | | | | | | |
| 8. | | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | | | | |
| 9. | | An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). | | | | | | | | | | |
| 10. | | An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). | | | | | | | | | | |
| 11. | | A copy of the International Preliminary Examination Report (PCT/IPEA/409). | | | | | | | | | | |
| 12. | \boxtimes | A copy of the International Search Report (PCT/ISA/210). | | | | | | | | | | |
| It | ems 1 | 3 to 23 below concern documen | t(s) or information included: | | | | | | | | | |
| 13. | \boxtimes | An Information Disclosure Statement under 37 CFR 1.97 and 1.98. | | | | | | | | | | |
| 14. | | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | | | | | | | |
| 15. | | A FIRST preliminary amendment. | | | | | | | | | | |
| 16. | | A SECOND or SUBSEQUENT preliminary amendment. | | | | | | | | | | |
| 17. | | A substitute specification. | | | | | | | | | | |
| 18. | | A power of attorney and/or change of address letter. | | | | | | | | | | |
| 19. | | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. | | | | | | | | | | |
| 20. | | A second copy of the published International Application under 35 U.S.C. 154(d)(4). | | | | | | | | | | |
| 21. | · 🛛 | | | | | | | | | | | |
| 22. 23. | ⊠ ⊠ | □ Express Mail Label No. □ Other items or information: | | | | | | | | | | |
| 23. | | | | | | | | | | | | |
| | | Copy of Form PCT/IB/308 33 Sheets of Formal Drawings | Ass | ilgnment Information signee: Renesas Technology Corp. signee: Hitachi ULSI Systems Co., Ltd. | | | | | | | | |

| U.S. APPLICATION NO (if fanown sec 37 GFF2). INTERNATIONAL APPLICATION NO. PCT/JP02/11953 | | | | | | | ATTORNEY'S DOCKET NUMBER XA-10183 | | | | |
|---|--|--|---|---------------|------------------------------|----------------|------------------------------------|------------|--|--|--|
| | | | PC1/JP02/11953 | | | | | | | | |
| 24. | | following fees are submitted:. NAL FEE (37 CFR 1.492(a)(1)-(5)) | CAL | CULATIONS | PTO USE ONLY | | | | | | |
| וֹ ם | Neither in | ternational preliminary examination nal search fee (37 CFR 1.445(a)(2)) ational Search Report not prepared | | | | | | | | | |
| I 🖾 | nternation | nal preliminary examination fee (37 ut International Search Report prepa | \$920.00 | | | | | | | | |
| b | out interna | nal preliminary examination fee (37 ational search fee (37 CFR 1.445(a) | \$770.00 | | | | | | | | |
| b | out all cla | nal preliminary examination fee (37 ims did not satisfy provisions of PC | | | | | | | | | |
| ☐ I a | nternation and all cla | nal preliminary examination fee (37 ims satisfied provisions of PCT Art | \$100.00 | | | | | | | | |
| | | ENTER APPROPRI | | | \$950.00 | | | | | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | | | | \$0.00 | | | | |
| CLA | IMS | NUMBER FILED | NUMBER EXTRA | <u> </u> | RATE | | 0700 00 F | | | | |
| Total cla | | 60 - 20 = | 40 | X | \$18.00 | - | \$720.00 \$264.00 | | | | |
| | dent clair | | 3 | х | \$88.00 | | \$264.00 | | | | |
| Multiple | Multiple Dependent Claims (check if applicable). TOTAL OF ABOVE CALCULATIONS = | | | | | | | | | | |
| | plicant cl | laims small entity status. See 37 CF | | | \$1,934.00 \$0.00 | | | | | | |
| | | | SUB | TO | ΓAL = | | \$1,934.00 | | | | |
| Processi months | Processing fee of \$130.00 for furnishing the English translation later than | | | | | | | | | | |
| | | | TOTAL NATIONAL | L F | EE = | | \$1,934.00 | | | | |
| Fee for accompa | recording anied by a | the enclosed assignment (37 CFR an appropriate cover sheet (37 CFR | | \$0.00 | | | | | | | |
| | | | A === | \$1,934.00 | | | | | | | |
| 1 | | | | | | | unt to be: refunded | \$ | | | |
| | - | | | | | <u> </u> | charged | \$ | | | |
| a. | | check in the amount of\$1,93 | | | | | | | | | |
| b. | b. Please charge my Deposit Account No in the amount of to cover the above fees. | | | | | | | | | | |
| c. | c. The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No50-1165 | | | | | | | | | | |
| d. | d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | | | | |
| NOTE: 1.137(a | Where) or (b)) 1 | an appropriate time limit under 3 must be filed and granted to resto | 7 CFR 1.494 or 1.495 has not l re the International Applicatio | been on to | met, a petition pending stat | on to 1 us. | revive (37 CFR | | | | |
| SEND A | ALL COP | RRESPONDENCE TO: | | / | Vann. | THE | W.S | 1 | | | |
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